

REQUEST FOR PROPOSALS

To: **Suppliers of Logistics Services**

From: Conservation International – **Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM)**

Date: **January 25, 2024**

Subject: **Request for Proposals #8-CS3.1: Logistics Services**

Submission Deadline: **February 29, 2024 4:00 PM Eastern Standard Time**

Conservation International Foundation (hereinafter referred to as “Conservation International”), is issuing a Request for Proposals (RFP) for the Global Executing Agency of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM). The attached RFP contains all the necessary information for interested Offerors.

1. **General Background:** The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) is a special initiative of the Forest Investment Program which supports the full and effective participation of Indigenous Peoples and local communities (IP&LCs) in climate action and sustainable forestry under their own leadership and according to their own priorities. Conservation International (CI) is responsible for carrying out the Global Learning and Knowledge Exchange project (DGM Global), which builds connections between DGM country projects and extends the benefits of the DGM to Indigenous Peoples and local communities around the world.
2. **Project Purpose:** As the Global Executing Agency (GEA) of the DGM, Conservation International routinely facilitates global activities, including meetings, exchanges, workshops, and training sessions held in diverse locations. These events cater to a wide range of participants, some of whom travel from different parts of the globe within the [DGM countries](#), including remote areas. This necessitates thorough planning, coordination, and logistical arrangements. To ensure the seamless execution of all events and activities, Conservation International is actively seeking a qualified company/organization to provide logistics and coordination services for a series of planned activities spanning approximately 13 months, **from April 1, 2024, through April 30, 2025**. The selected logistics company/org will be responsible for managing all travel logistics for participants (e.g., visas, flights, hotels) as well as logistics for meetings and activities, including but not limited to the booking of conference rooms and ground transportation for participants. Extensive communication and coordination with participants in different languages are required.
3. **Submission Details:**
 - a. **Deadline.** All quotations are due on **February 29th, 2024 by no later than 4:00 PM Eastern Standard Time**. Quotations should be sent by email, in PDF format, to (dgmglobal@conservation.org) with the subject line “#8-CS3.1: Logistics Services”.

Proposals submitted after the deadline will be considered “late” and will be disqualified from further evaluation process.

- b. Validity of the bid 120 days from the submission deadline
- c. Clarifications. Questions may be submitted to (dgmglobal@conservation.org) by the specified date and time in the timeline below. The subject of the email must contain the RFP number and title of the RFP. CI will respond in writing to submitted clarifications by the date specified in the timeline below. Responses to questions that may be of common interest to all bidders will be posted to the CI website and/or communicated via email.
- d. Amendments. At any time prior to the deadline for submission of proposals, CI may, for any reason, modify the RFP documents by amendment which will be posted to the CI website and/or communicated via email.

4. Minimum Requirements

Global Event Logistics Management:

- Proven experience in managing logistics for global events, including meetings, exchanges, workshops, and training sessions held in diverse locations.
- Demonstrated ability to handle the complexities of coordinating events with participants traveling from different time zones and different parts of the globe, including remote areas.

Travel Logistics Expertise:

- Extensive knowledge and successful track record in managing travel logistics, including visas, flights, transportation, and hotel bookings for participants attending international events.
- Ability to navigate and address challenges related to participants traveling to and from remote locations, showcasing adaptability and problem-solving skills.

Multilingual Communication and Coordination:

- Proficiency in communicating and coordinating with participants and service providers in different languages (Spanish, Portuguese, French, and Nepali)
- Experience in facilitating smooth communication across diverse cultural backgrounds, emphasizing the importance of effective and inclusive communication.

Cultural Awareness and Professionalism:

- High level of cultural awareness and professionalism expected from the staff, ensuring respectful engagement with participants from various cultural backgrounds.

Preferred:

- A minimum of at least three years of experience working with international non-profit organizations.
- Familiarity with the structure and operations of the DGM and in-depth knowledge of the local context of Indigenous Peoples and Local Communities in the DGM countries.

5. Proposal Documents to Include

- a. Signed cover page on bidder’s letterhead with the bidder’s contact information.
- b. Signed Representation of Transparency, Integrity, Environmental and Social Responsibility (Attachment 1)

- i. **Technical Proposal.** The Technical Proposal should describe in detail how the bidder intends to carry out the requirements described in the Terms of Reference (Attachment 2). In addition, this should include a description of similar projects or assignments and at least three client references. Please address minimum requirements in section 4.
 - ii. **Qualifications of Key Personnel.** Please attach CVs that demonstrate how key personnel meet the minimum requirements listed in section 4 (Minimum Requirements).
- c. **Financial Proposal.** Offerors shall submit a cost proposal (Attachment 2). A budget range will not be provided for this opportunity; please prepare your best offer.

6. Evaluation Criteria CI- DGM will evaluate each proposal on the merit of price, professionalism, and experience (**Best Value Determination**). Evaluation scoring will be considered.

Points Criteria 100 points maximum:

	Scoring Criteria
35%	Cost of Services (Cost Proposal)
30%	Service Availability & Professionalism
35%	Experience & Qualification

7. Proposal Timeline

RFP Issued	January 25, 2024
Clarifications submitted to CI	February 16, 2024
Clarifications provided to know bidders	February 23, 2024
Complete proposals due to CI	February 29, 2024
Anticipated Final selection	March 8, 2024

8. Resulting Award CI anticipates entering into an agreement with the selected bidder by March 15, 2024. Any resulting agreement will be subject to the terms and conditions of CI's Services Agreement. A model form of agreement can be provided upon request.

This RFP does not obligate CI to execute a contract, nor does it commit CI to pay any costs incurred in the preparation or submission of the proposals. Furthermore, CI reserves the right to reject any and all offers, if such action is considered to be in the best interest of CI. CI will, in its sole discretion, select the winning proposal and is not obligated to share individual evaluation results.

9. Confidentiality All proprietary information provided by the bidder shall be treated as confidential and will not be shared with potential or actual applicants during the solicitation process. This includes but is not limited to price quotations, cost proposals and technical proposals. CI may, but is not obliged to, post procurement awards on its public website after the solicitation process has concluded, and the contract has been awarded. CI's evaluation results are confidential and applicant scoring will not be shared among bidders.

10. Code of Ethics All Offerors are expected to exercise the highest standards of conduct in preparing, submitting and if selected, eventually carrying out the specified work in accordance with CI's Code of Ethics. Conservation International's reputation derives from our commitment to our values: Integrity, Respect, Courage, Optimism, Passion and Teamwork. CI's Code of Ethics (the "Code") provides guidance to CI employees, service providers, experts, interns, and volunteers in living CI's core values, and outlines minimum standards for ethical conduct which all parties must adhere to. Any violation of the Code of Ethics, as well as concerns regarding the integrity of the procurement process and documents should be reported to CI via its Ethics Hotline at www.ci.ethicspoint.com.

11. Attachments

Attachment 1

Representation of Transparency, Integrity, Environmental and Social Responsibility

All Offerors are expected to exercise the highest standards of conduct in preparing, submitting and if selected, eventually carrying out the specified work in accordance with CI's Code of Ethics. CI's Code of Ethics provides guidance to CI employees, service providers, experts, interns, and volunteers in living CI's core values, and outlines minimum standards for ethical conduct which all parties must adhere to. Any violations of the Code of Ethics should be reported to CI via its Ethics Hotline at www.ci.ethicspoint.com.

CI relies on the personal integrity, good judgment and common sense of all third parties acting on behalf, or providing services to the organization, to deal with issues not expressly addressed by the Code or as noted below.

I. With respect to CI's Code of Ethics, we certify:

- a. We understand and accept that CI, its contractual partners, grantees and other parties with whom we work are expected to commit to the highest standards of Transparency, Fairness, and Integrity in procurement.

II. With respect to social and environmental standards, we certify:

- a. We are committed to high standards of ethics and integrity and compliance with all applicable laws across our operations, including prohibition of actions that facilitate trafficking in persons, child labor, forced labor, sexual abuse, exploitation or harassment. We respect internationally proclaimed human rights and take no action that contributes to the infringement of human rights. We protect those who are most vulnerable to infringements of their rights and the ecosystems that sustain them.
- b. We fully respect and enforce the environmental and social standards recognized by the international community, including the fundamental conventions of International Labour Organization (ILO) and international conventions for the protection of the environment, in line with the laws and regulations applicable to the country where the contract is to be performed.

III. With respect to our eligibility and professional conduct, we certify:

- a. We are not and none of our affiliates [members, employees, contractors, subcontractors, and consultants] are in a state of bankruptcy, liquidation, legal settlement, termination of activity, or guilty of grave professional misconduct as determined by a regulatory body responsible for licensing and/or regulating the offeror's business

- b. We have not and will not engage in criminal or fraudulent acts. By a final judgment, we were not convicted in the last five years for offenses such as fraud or corruption, money laundering or professional misconduct.
- c. We are/were not involved in writing or recommending the scope of work for this solicitation document.
- d. We have not engaged in any collusion or price fixing with other offerors.
- e. We have not made promises, offers, or grants, directly or indirectly to any CI employees involved in this procurement, or to any government official in relation to the contract to be performed, with the intention of unduly influencing a decision or receiving an improper advantage.
- f. We have taken no action nor will we take any action to limit or restrict access of other companies, organizations or individuals to participate in the competitive bidding process launched by CI.
- g. We have fulfilled our obligations relating to the payment of social security contributions or taxes in accordance with the legal provisions of the country where the contract is to be performed.
- h. We have not provided, and will take all reasonable steps to ensure that we do not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitate, or participated in terrorist acts, and we are compliant with all applicable Counter-Terrorist Financing and Anti-Money Laundering laws (including USA Patriot Act and U.S. Executive Order 13224).
- i. We certify that neither we nor our directors, officers, key employees or beneficial owners are included in any list of financial or economic sanctions, debarment or suspension adopted by the United States, United Nations, the European Union, the World Bank, or General Services Administration's List of Parties Excluded from Federal Procurement or Non-procurement programs in accordance with E.O.s 12549 and 12689, "Debarment and Suspension".

Name: _____

Signature: _____

Title: _____

Date: _____

Attachment 2

Terms of Reference

Suppliers of Logistics Services

- 1. Project Description:** The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) is a special initiative of the Forest Investment Program which supports the full and effective participation of indigenous peoples and local communities (IPLCs) in climate action and sustainable forestry under their own leadership and according to their own priorities. Conservation International (CI) is responsible for carrying out the Global Learning and Knowledge Exchange project (DGM Global), which builds connections between DGM country projects and extends the benefits of the DGM to indigenous peoples and local communities around the world. The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) is a special initiative of the Forest Investment Program which supports the full and effective participation of Indigenous Peoples and local communities (IP&LCs) in climate action and sustainable forestry under their own leadership and according to their own priorities. Conservation International (CI) is responsible for carrying out the Global Learning and Knowledge Exchange project (DGM Global), which builds connections between DGM country projects and extends the benefits of the DGM to Indigenous Peoples and local communities around the world.
- 2. Project Objective:** As the Global Executing Agency (GEA) of the DGM, Conservation International routinely facilitates global activities, including meetings, exchanges, workshops, and training sessions held in diverse locations. These events cater to a wide range of participants, some of whom travel from different parts of the globe within the [DGM countries](#), including remote areas. This necessitates thorough planning, coordination, and logistical arrangements. To ensure the seamless execution of all events and activities, Conservation International is actively seeking a qualified company/organization to provide logistics services for a series of planned activities spanning approximately 14 months, **from April 1, 2024, through April 30, 2025**. The selected logistics company will be responsible for managing all travel logistics for participants (e.g., visas, flights, hotels) as well as logistics for meetings and activities, including but not limited to the booking of conference rooms and ground transportation for participants. Extensive communication and coordination with participants in different languages are required. Furthermore, a high level of cultural awareness and professionalism is expected from the staff of the selected company/org. Given that participants often travel to and from remote locations in DGM activities, possessing extensive knowledge of the local context of Indigenous Peoples and Local Communities in the DGM countries is crucial.

3. Deliverables & Key Tasks

Deliverables/Estimated Outputs: Selected service provider will be responsible for the following deliverables:

- Develop a detailed and comprehensive logistics plan for all global activities.
- Outline clear processes for managing travel logistics, accommodation, and venue arrangements for participants.
- Successfully coordinate and manage travel logistics for participants, ensuring smooth process for visa applications, flight bookings, and hotel accommodations.
- Address and resolve challenges related to participants travelling from diverse global locations including remote areas.
- Implement protocols for inclusive communication across different languages and diverse backgrounds.
- Promptly provide invoices upon the completion of each activity in the specified format.

Key Tasks: Selected service provider will be responsible for handling the logistics of all DGM in-person (average of 15 – 30 participants) activities according to the list* below. Activities are expected to happen in between April 1, 2024 and April 30, 2025 and include:

- Global Steering Committee Meeting – **Nepal April 2024**
- Targeted Exchange – **Mexico May 2024**
- Sustainability Working Group Meeting – **Republic of Congo June 2024**
- Women’s Leadership Training – **Brazil August 2024**
- Global Exchange Pre-COP – **Azerbaijan 24**
- Sustainability Working Group Meeting – **Guatemala February 2025**
- Women’s Leadership Training - **Congo March 2025**
- Global Steering Committee Meeting – **Washington-DC April 2025**

*Please note that this list of dates and locations may be subject to change**

4. Estimated Timeline: This contract will cover all relevant interpretation needs from the time the contract is signed through April 30, 2025.

5. Location of Task/Applicable Trips: This work is not location-dependent, and staff is not anticipated to travel as part of this work. Selected company/organization should be able to respond to emails and phone calls within a reasonable timeframe, and they should give advanced notice of any periods of extended unavailability when possible.

6. Specifications of Logistics Services

CI- **DGM** would like to hire services similar or equivalent to what is described below:

- Established company/organization with experience providing logistics services.

- Ability to procure good quality services and professionals according to the needs of each activity.
- Ability to communicate in two or more languages (English, Portuguese, Spanish, French, Nepali).
- Ability to be flexible and provide all the necessary support and services for the effective and smooth facilitation of events and activities.
- Ability to coordinate travel, visa, transportation, and accommodations for participants.
- Ability to respond quickly to emails and remain accessible in different time zones if needed.

7. Submission of Proposals

All offers must be submitted in one volume, consisting of:

Technical Proposal:

- *Company History:* Provide a detailed overview of your company's background, including key milestones and achievements if applicable.
- *Supporting Documentation:* Include relevant documentation that demonstrates the required and/or preferred skills and experience.

Cost Proposal:

- *Staff Time Hourly Rate:* Clearly outline the hourly rates for staff members involved in the project, specifying their roles and responsibilities.
- *Travel and Logistics Fee per Participant:* Present the fees associated with travel and logistics for each participant estimating flight, visa, and other costs.
- *Event Logistics Fee:* Specify any fees related to event logistics such as planning and booking of hotels, conference spaces, and transportation.

All quotations are due on **February 29th, 2024 by no later than 4:00 PM Eastern Standard Time**. Quotations should be sent by email, in PDF format, to (dgm-global@conservation.org) with the subject line **#8-CS3.1: Logistics Services**. Quotations submitted after the deadline will be considered “late” and will be disqualified from further evaluation process.

Attachment 3

GUIDELINES



Bank Directive

Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants (revised as of July 1, 2016)

Bank Access to Information Policy Designation

Public

Catalogue Number

LEGVP5.09-DIR.117

Issued

July 19, 2016

Effective

July 1, 2016

Content

These Guidelines are designed to prevent and combat Fraud and Corruption (as hereinafter defined) that may occur in connection with the use of proceeds of financing from the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA) during the preparation and/or implementation of projects supported by Investment Project Financing (IPF). They set out the general principles, requirements and sanctions applicable to persons and entities which receive, are responsible for the deposit or transfer of, or take or influence decisions regarding the use of, such proceeds.

Applicable to

IBRD, IDA

Issuer

Senior Vice President and General Counsel, LEGVP

Sponsor

Chief Counsel, LEGO

Attachment 4

On Preventing and Combating Fraud and Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants

Dated October 15, 2006 and Revised in January 2011 and as of July 1, 2016

Purpose and General Principles

1. These Guidelines are designed to prevent and combat Fraud and Corruption (as hereinafter defined) that may occur in connection with the use of proceeds of financing from the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA) during the preparation and/or implementation of projects supported by Investment Project Financing (IPF). They set out the general principles, requirements and sanctions applicable to persons and entities which receive, are responsible for the deposit or transfer of, or take or influence decisions regarding the use of, such proceeds.

2. All persons and entities referred to in paragraph 1 above must observe the highest standard of ethics. Specifically, all such persons and entities must take all appropriate measures to prevent and combat Fraud and Corruption, and refrain from engaging in, Fraud and Corruption in connection with the use of the proceeds of the IBRD or IDA financing.

Legal Considerations

3. The Legal Agreement¹ providing for a Loan² governs the legal relationships between the Borrower³ and the Bank⁴ with respect to the particular project for which the

¹References in these Guidelines to “Legal Agreement” include any Loan Agreement providing for an IBRD loan or Financing Agreement providing for an IDA credit or grant, any Guarantee Agreement providing for a guarantee by the Member Country of such IBRD Loan, any agreement providing for a project preparation advance or Institutional Development Fund (IDF) Grant, Trust Fund Grant or Loan Agreement providing for a recipient-executed trust fund grant or loan in cases where these Guidelines are made applicable to such agreement, and any Project Agreement with a Project Implementing Entity related to any of the above.

²References to “Loan” or “Loans” include IBRD IPF loans as well as IDA IPF credits and grants, project preparation advances, IDF grants and recipient-executed trust fund grants or loans for projects to which these Guidelines are made applicable under the agreement providing for such grant and/or loan. These Guidelines do not apply to (i) Program for Results (PforR) financing or (ii) Development Policy Operations (DPOs), unless the Bank agrees with the Borrower on specified purposes for which Loan proceeds may be used, or (iii) IBRD/IDA guarantee operations.

³References in these Guidelines to the “Borrower” include the borrower of an IBRD loan or the recipient of an IDA credit or grant or of a trust fund grant or loan. In some cases, an IBRD Loan may be made to an entity other than the Member Country. In such cases, references in these Guidelines to “Borrower” include the Member Country as Guarantor of the Loan, unless the context requires otherwise. In some cases, the project, or a part of the project, is carried out by a Project Implementing Entity with which the Bank has entered into a Project Agreement. In

such cases, references in these Guidelines to the “Borrower” include the Project Implementing Entity, as defined in the Legal Agreement.

4 References in these Guidelines to the “Bank” include both IBRD and IDA, whether acting in their own capacity or as administrator of trust funds financed by other donors.

Loan is made. The responsibility for the implementation of the project⁵ under the Legal Agreement, including the use of Loan proceeds, rests with the Borrower. The Bank, for its part, has a fiduciary duty under its Articles of Agreement to “make arrangements to ensure that the proceeds of any loan are used only for the purposes for which the loan was granted, with due attention to considerations of economy and efficiency and without regard to political or other non-economic influences or considerations.”⁶ These Guidelines constitute an important element of those arrangements and are made applicable to the preparation and implementation of the project as provided in the Legal Agreement.

Scope of Application

4. The following provisions of these Guidelines cover Fraud and Corruption that may occur in connection with the use of Loan proceeds during the preparation and implementation of a project financed, in whole or in part, by the Bank. These Guidelines cover Fraud and Corruption in the direct diversion of Loan proceeds for ineligible expenditures, as well as Fraud and Corruption engaged in for the purpose of influencing any decision as to the use of Loan proceeds. All such Fraud and Corruption is deemed, for purposes of these Guidelines, to occur in connection with the use of Loan proceeds.

5. These Guidelines apply to the Borrower and all other persons or entities which either receive Loan proceeds for their own use (e.g., “end users”), persons or entities such as fiscal agents which are responsible for the deposit or transfer of Loan proceeds (whether or not they are beneficiaries of such proceeds), and persons or entities which take or influence decisions regarding the use of Loan proceeds. All such persons and entities are referred to in these Guidelines as “recipients of Loan proceeds”, whether or not they are in physical possession of such proceeds.⁷

6. These Guidelines apply to the procurement of goods, works, non-consulting services and consulting services financed (in whole or in part) out of the proceeds of a Loan from the Bank. Additional specific requirements relating to Fraud and Corruption in connection with such procurement are set out in Annex IV of the World Bank Procurement Regulations for Borrowers under Investment Project Financing, dated July 1, 2016, as the same may be amended from time to time.

5 References in these Guidelines to the “project” means the Project as defined in the Legal Agreement.

6 IBRD’s Articles of Agreement, Article III, Section 5(b); IDA’s Articles of Agreement, Article V, Section 1(g).

7 Certain persons or entities may fall under more than one category identified in paragraph 5 of these Guidelines. A financial intermediary, for example, may receive payment for its services, will transfer funds to end users and will make or influence decisions regarding the use of Loan proceeds.

Definitions of Practices Constituting Fraud and Corruption

7. These Guidelines address the following defined sanctionable practices when engaged in by recipients of Loan proceeds in connection with the use of such proceeds:⁸

a. A “corrupt practice” is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party.⁹

b. A “fraudulent practice” is any act or omission, including a misrepresentation, that knowingly or recklessly¹⁰ misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation.

c. A “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party.

d. A “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party.

e. An “obstructive practice” is (i) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or (ii) acts intended to materially impede the exercise of the Bank’s contractual rights of audit or access to information.¹¹

8. The above practices, as so defined, are referred to collectively and individually in these Guidelines as “Fraud and Corruption”.

Borrower Actions to Prevent and Combat Fraud and Corruption in connection with the Use of Loan Proceeds

9. In furtherance of the above-stated purpose and general principles, the Borrower will:

8 Unless otherwise specified in the Legal Agreement, whenever these terms are used in the Legal Agreement, including in the applicable General Conditions, they have the meanings set out in paragraph 7 of these Guidelines.

9 Typical examples of corrupt practice include bribery and “kickbacks”.

10 To act “knowingly or recklessly”, the fraudulent actor must either know that the information or impression being conveyed is false, or be recklessly indifferent as to whether it is true or false. Mere inaccuracy in such information or impression, committed through simple negligence, is not enough to constitute fraudulent practice.

11 Such rights include those provided for, *inter alia*, in paragraph 9(d) of these Guidelines.

a. take all appropriate measures to prevent Fraud and Corruption in connection with the use of Loan proceeds, including (but not limited to) (i) adopting appropriate fiduciary and administrative practices and institutional arrangements to ensure that the proceeds of the Loan are used only for the purposes for which the Loan was granted, and (ii) ensuring that all of its representatives¹² involved with the project, and all recipients of Loan proceeds

with which it enters into an agreement related to the Project, receive a copy of these Guidelines and are made aware of its contents;

b. immediately report to the Bank any allegations of Fraud and Corruption in connection with the use of Loan proceeds that come to its attention;

c. if the Bank determines that any person or entity referred to in (a) above has engaged in Fraud and Corruption in connection with the use of Loan proceeds, take timely and appropriate action, satisfactory to the Bank, to address such practices when they occur;

d. include such provisions in its agreements with each recipient of Loan proceeds as the Bank may require to give full effect to these Guidelines, including (but not limited to) provisions (i) requiring such recipient to abide by paragraph 10 below; (ii) requiring such recipient to permit the Bank to inspect all accounts, records and other documents relating to the project required to be maintained pursuant to the Legal Agreement, and to have them audited by, or on behalf of, the Bank; (iii) providing for the early termination or suspension by the Borrower of the agreement if such recipient is declared ineligible by the Bank under paragraph 11 below; and (iv) requiring restitution by such recipient of any amount of the loan with respect to which Fraud and Corruption has occurred;

e. cooperate fully with representatives of the Bank in any investigation into allegations of Fraud and Corruption in connection with the use of Loan proceeds; and

f. in the event that the Bank declares any recipient of Loan proceeds ineligible as described in paragraph 11 below, take all necessary and appropriate action to give full effect to such declaration by, among other things, (i) exercising the Borrower's right to terminate early or suspend the agreement between the Borrower and such recipient and/or (ii) seeking restitution.

Other Recipients of Loan Proceeds

10. In furtherance of the above-stated purpose and general principles, each recipient of Loan proceeds which enters into an agreement with the Borrower (or with another recipient of Loan proceeds) relating to the Project will:

a. carry out its project-related activities in accordance with the above-stated

12 References in these Guidelines to "representatives" of an entity also include its officials, officers, employees and agents.

general principles and the provisions of its agreement with the Borrower referred to in paragraph 9(d) above; and include similar provisions in any agreements related to the project into which it may enter with other recipients of Loan proceeds;

b. immediately report to the Bank any allegations of Fraud and Corruption in connection with the use of Loan proceeds that come to its attention;

c. cooperate fully with representatives of the Bank in any investigation into allegations of Fraud and Corruption in connection with the use of Loan proceeds;

d. take all appropriate measures to prevent Fraud and Corruption by its representatives (if any) in connection with the use of Loan proceeds, including (but not limited to): (i) adopting appropriate fiduciary and administrative practices and institutional arrangements to ensure that the proceeds of the Loan are used only for the purposes for which the Loan was granted, and (ii) ensuring that all its representatives receive a copy of these Guidelines and are made aware of its contents;

e. in the event that any representative of such recipient is declared ineligible as described in paragraph 11 below, take all necessary and appropriate action to give full effect to such declaration by, among other things, either removing such representative from all duties and responsibilities in connection with the project or, when requested by the Bank or otherwise appropriate, terminating its contractual relationship with such representative; and

f. in the event that it has entered into a project-related agreement with another person or entity which is declared ineligible as described in paragraph 11 below, take all necessary and appropriate action to give full effect to such declaration by, among other things, (i) exercising its right to terminate early or suspend such agreement, and/or (ii) seeking restitution.

Actions by the Bank in Cases of Fraud and Corruption

11. In furtherance of the above-stated purpose and general principles, the Bank has the right to sanction, in accordance with prevailing World Bank Group sanctions policies and procedures, any individual or entity¹³ other than the Member Country¹⁴, including (but not limited to) declaring such individual or entity ineligible publicly, either indefinitely or for a stated period of time: (i) to be awarded a Bank-financed contract; (ii) to benefit from

13 As in the case for bidders in the procurement context, the Bank may also sanction individuals and entities which engage in Fraud or Corruption in the course of applying to become a recipient of Loan proceeds (e.g., a bank which provides false documentation so as to qualify as a financial intermediary in a Bank-financed project) irrespective of whether they are successful.

14 For purposes of these Guidelines, "Member Country" includes officials and employees of the national government or of any of its political or administrative subdivisions, and government owned enterprises and agencies that are not eligible to compete for and be awarded Bank-financed contracts in accordance with paragraph 3.22 of the World Bank Procurement Regulations for IPF Borrowers.

a Bank-financed contract, financially or otherwise, for example as a sub-contractor; and (iii) to otherwise participate in the preparation or implementation of the project or any other project financed, in whole or in part, by the Bank,

a. if at any time the Bank determines¹⁵ that such individual or entity has engaged in Fraud and Corruption in connection with the use of Loan proceeds;¹⁶

b. if another financier with which the World Bank Group has entered into an agreement for the mutual enforcement of debarment decisions¹⁷ has declared such individual or entity ineligible to receive proceeds of financings made by such financier or otherwise to participate in the preparation or implementation of any project financed in whole or in part by such financier as a result of a determination by such financier that the individual or entity has engaged in Fraud and Corruption in connection with the use of the proceeds of a financing made by such financier; or

c. if the World Bank Group has found the individual or entity to be a non-responsible vendor on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement.

Miscellaneous

12. The provisions of these Guidelines do not limit any other rights, remedies¹⁸ or obligations of the Bank or the Borrower under the Legal Agreement or any other document to which the Bank and the Borrower are both parties.

15 The Bank has established a Sanctions Board, and related procedures, for the purpose of making such determinations. The procedures of the Sanctions Board sets forth the full set of sanctions available to the Bank. 16 The sanction may, without limitation, also include restitution of any amount of the Loan with respect to which Fraud and Corruption has occurred. The World Bank Group may publish the identity of any individual or entity declared ineligible under paragraph 11 of these Guidelines.

17 Also sometimes referred to as “cross-debarment.”

18 The Legal Agreement provides the Bank with certain rights and remedies which it may exercise with respect to the Loan in the event of Fraud and Corruption in connection with the use of Loan proceeds, in the circumstances described therein.